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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takashi KIKUKAWA, et al.

SERIAL NO: 10/519,169

GAU:

FILED: December 20, 2004

EXAMINER:

FOR: METHOD FOR OPTICALLY RECORDING AND REPRODUCING DATA AND OPTICAL RECORDING  
MEDIUM

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 277944US28X PCT		SERIAL NO. 10/519,169	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Takashi KIKUKAWA, et al.		GROUP 1794	
				FILING DATE December 20, 2004			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
/G.H./	AM	2002-109786	04/12/02	JP (with English abstract)			NO
	AN	06-262854	09/20/94	JP (with English abstract)			NO
	AO	2000-353341	12/19/00	JP (with English abstract)			NO
	AP	2002-269827	09/20/02	JP (with English abstract)			NO
	AQ	2002-298439	10/11/02	JP (with English abstract)			NO
	AR	07-210874	08/11/95	JP (with English abstract)			NO
	AS	05-028535	02/05/93	JP (with English abstract)			NO
	AT	05-242524	09/21/93	JP (with English abstract)			NO
	AU	06-290486	10/18/94	JP (with English abstract)			NO
/G.H./	AV	05-028488	02/05/93	JP (with English abstract)			NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
/G.H./	AW	FUJII et al. "A Near-Field Recording and Readout Technology Using a Metallic Probe in an Optical Disk", Jpn. J. Appl. Phys., vol. 39, part 1, no. 2B, pages 980-981 2000					
	AX						
	AY						
	AZ						
					<input type="checkbox"/> Additional References sheet(s) attached		
Examiner /Gerard Higgins/					Date Considered 01/29/2008		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.